

Comprehensive Study on Electrical and Hydrogen Gas Sensing Characteristics of Pt/ZnO Nanocrystalline Thin Film-Based Schottky Diodes Grown on N-Si Substrate Using RF Sputtering

Lintu Rajan, C. Periasamy, and Vineet Sahula, *Senior Member, IEEE*

Abstract—This paper presents a comprehensive study on the electrical characteristics of Pt/ZnO thin film Schottky contacts fabricated on n-Si substrates by RF sputtering, and its application as a Hydrogen sensor. The basic structural, surface morphological, and optical properties of the ZnO thin film were also been explored. Pt/ZnO thin film junction was characterized using current–voltage (I – V) and capacitance–voltage (C – V) measurements at room temperature, exhibiting rectifying behavior with barrier height, ideality factor and series resistance of 0.71 eV (I – V)/0.996 eV (C – V), 2.5 and $\sim 95 \Omega$ respectively. The lack of congruence between the values of Schottky barrier heights calculated from I – V and C – V measurements is interpreted. Cheung’s method and modified Norde’s functions were employed along with the conventional thermionic emission model, to incorporate the impact of series resistance in the calculation of diode parameters. We unveiled, the Hydrogen sensing characteristics displayed by the Pt/ZnO thin film-based sensor to different concentrations (200–1000 ppm) of Hydrogen at 350 °C. The sensor has exhibited good recoverable transient characteristics under a series of Hydrogen exposure cycles with a maximum sensitivity of 57% at 1000 ppm of Hydrogen.

Index Terms—Electrical characteristics, hydrogen sensing, metal-semiconductor interface, schottky diode, zinc oxide (ZnO) thin film.

I. INTRODUCTION

INC Oxide have garnered widespread attention for the use in diverse applications such as gas sensors, lasers, solar cells, photo detectors, photo catalysts etc, owing to its distinctive properties such as good chemical and thermal stability, wide band gap (3.3 eV), high exciton binding energy (60 meV), high mobility of conducting electrons etc [1]–[6]. ZnO can form excellent Schottky or rectifying contact with high work function noble metals like Pt, Au and Pd and it is the one of the remarkable property due to which ZnO has attracted much research interest among solid state electronic researchers [1]–[10]. The rapid

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The authors are with the Malaviya National Institute of Technology, Jaipur 302017, India (e-mail: linturajan08@gmail.com; cpsamy.ece@mniit.ac.in; vsahula.ece@mniit.ac.in).

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progress of ZnO devices like diodes, sensors, detectors, etc demand the proper understanding and controllability of its metal contacts.

Metal Schottky contact on ZnO was first studied by Mead and Neville [1]. Tsiarapas *et al.* and Yadav *et al.* investigated the Pd Schottky contact on ZnO and reported an ideality factor of ~ 1.21 and ~ 1.5 respectively [2], [3]. Aydogan *et al.* reported the electrical characterization of Au/n-ZnO Schottky contacts on n-Si using electro deposition technique with an ideality factor of ~ 1.21 [4]. Periasamy and Chakrabarti also studied Pt contact on ZnO thin film using vacuum coating technique with an ideality factor of 1.52 [5]. Li *et al.* and Lp *et al.* reported the fabrication of Pt Schottky contacts on ZnO using pulse laser deposition with an ideality factor of ~ 2.96 and ~ 1.7 respectively [8], [11]. However, there is no significant report on fabrication and characterization of RF Sputtered Pt/ZnO Schottky contact on n-Si substrate for gas sensing applications.

Hydrogen has got heightened focus due to its importance in the present context where the shift to greener source of energy is highly demanding and Hydrogen can act as an alternative to fossil fuels. Extensive research in Hydrogen sensing is highly recommended as it is a colorless, odorless and highly inflammable gas which can spontaneously ignite with Oxygen forming potentially explosive mixtures [10]–[13]. Majority of commercial gas sensors are based on thin/thick layers or nanostructure-based metal oxide semiconductors, such as SnO_2 , TiO_2 , Fe_2O_3 , ZnO, etc., integrated with heaters to raise the temperature to the achieve the optimum performance. ZnO nanostructure-based gas sensors simulated an exciting interest recently, due to their notable advantages, such as high surface to volume ratio, easy to fabricate structure, good chemical and thermal stability, better response, etc., [14]–[16]. Nanocrystalline thin films are having the additional advantage of reproducibility of film quality and ease of deposition. Also, it was reported that thin films possess better recovery characteristics compared to other configurations [17]. Noble metals like Pt, Pd and Au are considered to be important catalysts for Hydrogen sensing reactions [10].

We have investigated the Hydrogen sensing characteristics of Pt/ZnO thin film in this study. To the best of our knowledge, the proposed sensor structure, based on ZnO thin film with Pt catalytic dots on the surface, having the advantage of simple and easy to fabricate structure and devoid of any additional electrical contacts other than Pt catalytic contacts on the surface has not yet reported. We have discussed the trends in sensor performance and have tried to give an adequate explanation for

85 these trends. We have also discussed the basic microstructure
86 study and optical properties of RF sputtered ZnO thin film.

87 II. EXPERIMENTAL DETAILS

88 A. ZnO Thin Film Fabrication

89 ZnO thin film was deposited on the n-Si substrate (Resistivity
90 of 1–10 Ω) by RF sputtering system equipped with high purity
91 ZnO (99.99%) target. Before loading into the sputtering system,
92 Si substrate of thickness 425 μm was organically and ionically
93 cleaned using standard RCA process. The substrate was loaded
94 into a magnetron sputtering system with a target to substrate
95 distance fixed at 11 cm. Mechanical rotary and turbo pump
96 were used to evacuate the sputtering chamber to the pressure
97 of 1.5×10^{-6} Torr, before generation of plasma activated by
98 RF power of 100 W. Sputtering gas used was Argon (99.999%
99 pure) and its concentration was kept at 15 SCCM (standard cubic
100 centimeter per minute) using the mass flow controller (MFC).
101 To remove the impurities on ZnO target, it was pre-sputtered for
102 10 min before deposition. ZnO thin film was sputter deposited
103 on substrates at the pressure of 1.8×10^{-2} Torr for 40 min. After
104 deposition, rapid thermal annealing was performed at 450 $^{\circ}\text{C}$
105 for 10 min to improve the crystallinity of the film. The film
106 thickness was measured by the surface profiler and was around
107 200 nm.

108 B. ZnO Thin Film Characterization

109 The crystalline properties of ZnO thin film were characterized
110 by X-ray diffraction (XRD) using 18 kW Cu rotating-anode-
111 based X-ray diffractometer (Panalytical X Pert Pro) with Cu
112 $K\alpha$ as the line source ($\lambda = 1.542 \text{ \AA}$). A Field emission scanning
113 electron microscope (Nova Nano FE-SEM, 450) and Energy
114 Dispersive X-ray (EDX) Spectroscopy (EDS-INCA, Oxford
115 Instruments, UK) were used to investigate the surface morphologies
116 and elemental compositions of the thin film respectively.
117 Photoluminescence (PL) measurements at room temperatures
118 were carried out using fluorescence spectrometer (Perkin Elmer,
119 LS55) which uses a He–Cd laser of 325 nm emission line. Optical
120 transmittance and absorbance of the film was measured
121 using UV/VIS/NIS spectrometer (Perkin Elmer, Lambda 750),
122 which uses deuterium and tungsten halogen light sources. All
123 measurements were carried out at room temperature.

124 C. Device Fabrication and Characterization

125 To study the electrical properties and gas sensing behavior
126 of ZnO thin film with Pt catalytic dots, an array of Pt Schottky
127 contacts each of area $3.8 \times 10^{-3} \text{ cm}^2$ and thickness 80 nm was
128 fabricated on ZnO/n-Si substrate using RF sputtering through
129 shadow mask technique. An 80 nm thick Al layer was also
130 deposited on the back side of n-Si substrate by e-beam evapora-
131 tion to obtain the ohmic contact. The fabricated device was
132 then undergone rapid thermal annealing at 450 $^{\circ}\text{C}$ for 5 min to
133 realize good electrical conductivity and better contact quality.
134 The Fig. 1(a) shows the FE-SEM image (Top view) of Pt Schot-
135 tky contacts arrays fabricated on the ZnO thin film, whereas the
136 EDX spectrum is shown in Fig. 1(b). EDX spectrum exhibits an
137 Oxygen peak at energy about 0.52 keV, two Zn peaks at 1.012

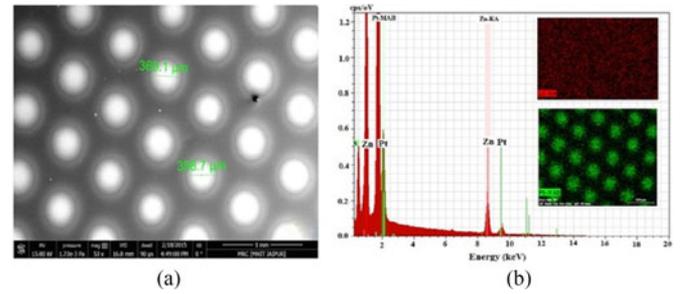


Fig. 1. (a) FE-SEM image of Pt Schottky contacts grown on ZnO thin film. (b) EDX spectra of Pt/ZnO Schottky diodes, the inset shows the mapping data of ZnO (red) and Pt dots (green).

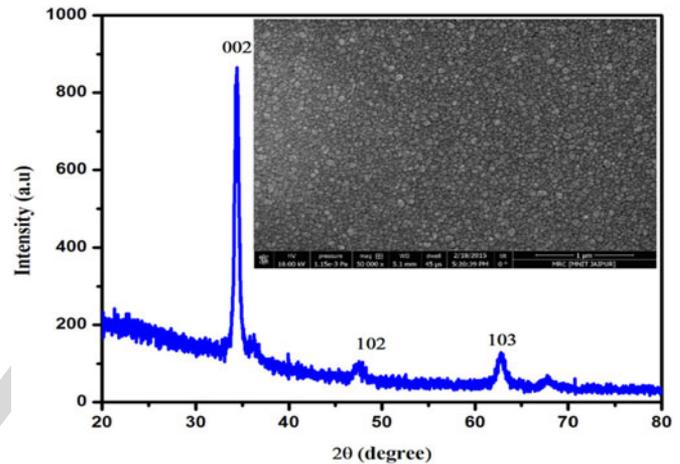


Fig. 2. The XRD pattern of ZnO thin film grown on Si substrate. Inset shows FE-SEM image of ZnO thin film.

and 8.63 keV and two Pt peaks at 9.44 and 2.048 keV, confirming
the presence of O, Zn and Pt elements respectively in our sample. The inset of Fig. 1(b) shows the elemental mapping of ZnO
and Pt Schottky contacts in the sample. $I - V$ and $C - V$ mea-
surements were obtained using Agilent B1500A semiconductor
device analyzer. The gas sensing characteristics were obtained
using a computer controlled gas sensing set up comprises of a
static mixer, MFC, Keithley-237 source measure unit, a heater
made of halogen bulb covered by graphite and a data acquisi-
tion system. The device was introduced to several gas exposure
cycles of target gas of different concentrations and synthetic air
at 350 $^{\circ}\text{C}$.

150 III. RESULTS AND DISCUSSIONS

151 A. Structural and Optical Properties of ZnO Thin Film

152 In Fig. 2 we present the XRD spectrum for the RF sputtered
153 ZnO thin film on the silicon substrate in the range of 2θ from
154 20° to 80° . It is having highest peak of [002] appears at around
155 $2\theta = 34.33^{\circ}$ which is near to that of reference strain free ZnO
156 film peak (34.421°) indicating good crystal quality of film with
157 reduced stress. This also confirms that the film is preferentially
158 C-axis oriented with hexagonal wurtzite structure. The Crystalline
159 size (D) of ZnO thin film is calculated using the Scherrer
160 formula from the full width at half maximum for the prominent

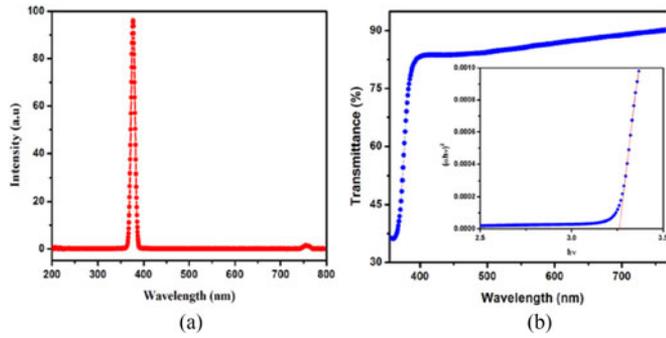


Fig. 3. (a) Room-temperature PL spectra of ZnO thin film on Si substrate. (b) Optical transmittance spectra of ZnO thin film as a function of wavelength. Inset shows the $(\alpha hv)^2$ versus hv plot of ZnO thin film for band gap calculation.

(002) peak [18] and is around 18 nm. The lattice constant c and interplanar spacing d are evaluated to be 0.52033 and 0.2602 nm respectively. These values are in good accordance with the experimental results published by others [18], [19]. Inset of Fig. 2 depicts the FE-SEM image of the nanocrystalline ZnO thin film grown on n-Si substrate, which demonstrates that nanostructure grains are uniformly distributed having diameter of about 20 nm.

The PL characteristics of the ZnO thin films were procured in the wavelength range of 200–800 nm at room temperature and is depicted in Fig. 3(a). The film shows a strong UV emission peak at 375 nm which is known as near band edge emission, and it is attributed to the free exciton recombination. The absence of other major peaks, except UV emission confirms high crystallinity of the deposited ZnO thin films with fewer surface defects such as Oxygen vacancies [20]. The band gap of ZnO thin film is obtained as 3.28 eV from PL spectra.

The optical transmittance and absorbance of the film were measured by UV-Visible spectrometer in the range of 200–800 nm. Transmission spectra depicted in the Fig. 3(b) shows good optical transmission in the visible wavelength region (380–780 nm), confirming that sputtered ZnO thin film is transparent in the visible wavelength range. The optical band gap, for the film can be obtained by extrapolating the linear portions of $(\alpha hv)^2$ versus hv to $\alpha hv = 0$, which is illustrated in the inset of Fig. 3(b) and its value is obtained as 3.26 eV [18]–[20].

B. I - V Characteristics of Pt/ZnO Thin Film Schottky Diode

The Pt/ZnO thin film Schottky diodes were electrically characterized using Agilent B1500A semiconductor device analyzer under dark condition. The fabricated Pt/ZnO/n-Si/Al structure is schematically represented in the inset of Fig. 4(a); whereas Fig. 4(a) shows the In I - V characteristics of the structure, which confirms the formation of Schottky junction at the Pt/ZnO interface having rectifying ratio I_F/I_R of 637 at ± 1 V. Since the Al/n-ZnO/Si/Al junction shows ohmic behavior as depicted in Fig. 4(b), the proposed structure behaves as vertical Pt/ZnO Schottky diode similar to structures reported by authors in [2]–[4], [21], [22]. We have measured I - V characteristics of about five Schottky diodes in the array. All of them exhibited the same characteristics. The Schottky diode parameters were extracted using three different techniques such as conventional thermionic emission model, Cheung's method and

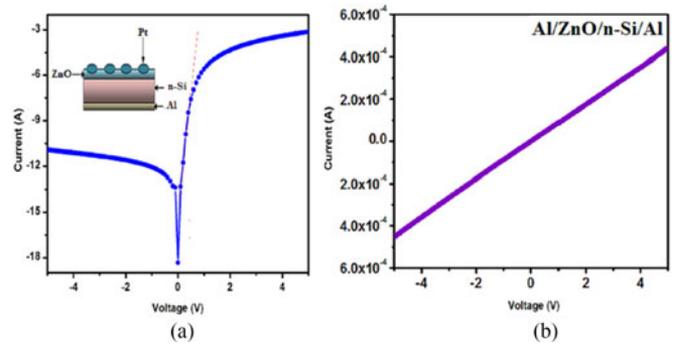


Fig. 4. (a) In I - V characteristic for Pt/ZnO thin film interface (dashed line represents linear fit). The inset shows I - V measurement set up. (b) The ohmic I - V characteristic of Al/n-ZnO/n-Si/Al junction.

Norde's method, which are now discussed in detail. In the conventional method of calculation, we have extracted the electronic parameters such as saturation current, barrier height, and ideality factor of Pt/ZnO Schottky diode by assuming thermionic emission as the only carrier transport mechanism. The forward I - V characteristics of the Schottky junction is investigated with help of thermionic emission model, in which current for positive bias voltage is given by (1), neglecting the series resistance [21]–[26].

$$I \approx I_0 \left[\exp\left(\frac{qV}{\eta kT}\right) - 1 \right] \quad (1)$$

where, I_0 is the saturation current as depicted in (2), q is the electronic charge, V is the applied voltage, η is the ideality factor, k is the Boltzmann constant and T is the temperature.

$$I_0 = AA^*T^2 \exp\left(\frac{-q\phi_b}{kT}\right) \quad (2)$$

where A is the diode area, A^* ($= 32 \text{ cm}^{-2} \text{ K}^{-2}$) is the Richardson constant, ϕ_b is the effective barrier height at zero bias [1], [3], [5]. We have calculated the slope of low voltage linear region (0.1 V to 0.3 V) of forward bias In I - V characteristics and obtained the value of ideality factor as 2.5 at room temperature using (3) [1], [3], [5].

$$\eta = \frac{q}{kT} \frac{dV}{d \ln(I)}. \quad (3)$$

The departure of ideality factor from the ideal value of 1 indicates the existence of different current conduction mechanisms other than thermionic emission in the Schottky junction like tunneling, tunneling-recombination, field emission etc, presence of series resistance and interfacial layer between metal and semiconductor [23], [27]. The saturation current is calculated from the vertical axis intercept of In I - V plot and obtained to be 1.5×10^{-8} A. Effective Barrier height of the Schottky junction is calculated to be 0.71 eV using (2).

These values are in good congruence with the results published by other researchers [5], [8]. According to Schottky-Mott theory, the ideal value of the barrier height for Pt/ZnO Schottky contact varies from 1.3 to 1.95 eV which is given by the difference between work function of Pt and electron affinity of ZnO [23]. The non-ideal values of barrier height (0.71 eV)

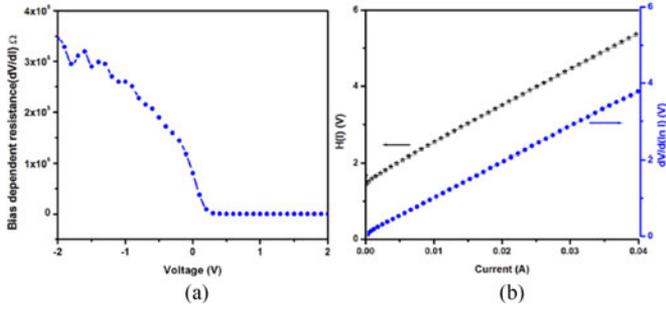


Fig. 5. (a) Bias voltage dependent diode resistance (R_i) of Pt/ZnO interface. (b) Plots of $dv/d(\ln I)$ versus I and $H(I) - I$ of Pt/ZnO thin film diode obtained from experimental $I-V$ data in Fig. 4(a).

236 and ideality factor (2.5) measured from $I-V$ characteristic can
 237 be credited to the presence of interface states between metal (Pt)
 238 electrode and semiconductor (ZnO) surface, Fermi energy level
 239 pinning caused by surface states, barrier inhomogeneities and
 240 certain other interface specific effects [1], [2], [23], [27].

241 In order to gain insight regarding the value of series resistance
 242 R_s , we studied bias dependent resistance, R_i ($R_i = dV/dI$)
 243 versus V plot as shown in Fig. 5(a). It is obvious from the plot
 244 that that R_i has got a bias independent constant value of around
 245 95Ω at higher voltages, and this corresponds to series resistance
 246 [22]. To incorporate the effect of series resistance, R_s in
 247 our Schottky diode parameter calculations, we have employed
 248 the analysis technique reported by Cheung and Cheung [28].
 249 Cheung's functions can be written as:

$$\frac{dV}{d(\ln I)} = \frac{\eta kT}{q} + IR_s \quad (4)$$

$$H(I) = V - \frac{\eta kT}{q} \ln \left(\frac{I}{AA^*T^2} \right) \quad (5)$$

$$H(I) = \eta\phi_b + IR_s. \quad (6)$$

250
 251 From the slope and vertical axis intercept of $dv/d(\ln I)$ ver-
 252 sus I plot displayed in Fig. 5(b), value of series resistance (R_s)
 253 and ideality factor η are obtained as 96.2Ω and 2.34 respec-
 254 tively. In order to determine the value of barrier height, we
 255 have also plotted $H(I)$ versus I curve using the value of ideal-
 256 ity factor obtained from $dv/d(\ln I)$ versus I plot. Schottky
 257 barrier height (ϕ_b) is extracted from the vertical axis intercept
 258 of $H(I)$ versus I plot given in the Fig. 5(b) and is obtained as
 259 0.65 eV , whereas its slope will give the value of series resistance,
 260 which is equal to 96.6Ω . There is a disparity in the Schottky
 261 barrier height value obtained from conventional thermionic
 262 emission model and Cheung's technique. This is because, Cheung's
 263 plots are obtained from the downward curvature region of
 264 $I-V$ characterization, which strongly suggests the presence of
 265 series resistance and insulating interfacial layer across Pt/ZnO
 266 Schottky junction, whereas parameters are calculated from low
 267 voltage linear region of $I-V$ characteristic in thermionic emis-
 268 sion model. Furthermore, the closely agreed values of series
 269 resistance calculated from both the plots of Fig. 5(b), is a proof
 270 for the consistency of Cheung's approach of calculations [2],

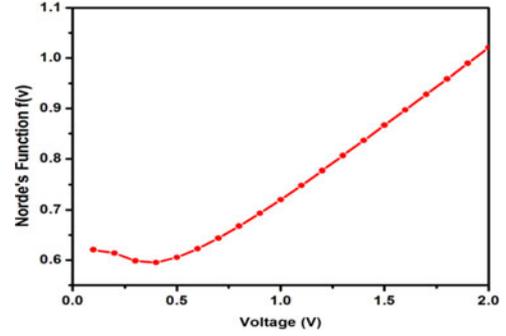


Fig. 6. $F(V)$ versus V plots obtained from the experimental $I-V$ data in Fig. 4(a).

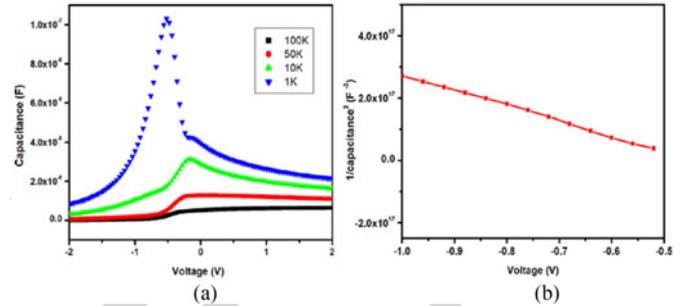


Fig. 7. (a) The frequency dependent $C-V$ characteristics of Pt/ZnO Schottky diode. (b) $C^{-2} - V$ characteristics of Pt/ZnO Schottky diode at $f = 50 \text{ KHz}$.

[4], [28]. An alternative approach developed by Norde is also
 271 employed to determine the value of series resistance [29], [30].
 272 The Norde function is described as in (7).
 273

$$F(V) = \frac{V}{\gamma} - \frac{kT}{q} \ln \left(\frac{I(V)}{AA^*T^2} \right) \quad (7)$$

$$\phi_b = F(V_{\min}) + \frac{V_0}{\gamma} - \frac{kT}{q} \quad (8)$$

$$R_s = \frac{kT(\gamma - n)}{qI} \quad (9)$$

274 where γ is an integer greater than ideality factor (here 3), $I(V)$
 275 is the current obtained from $I-V$ curve, $F(V_{\min})$ is the minimum
 276 value of $F(V)$ and V_0 is the voltage corresponding to $F(V_{\min})$.
 277 Fig. 6 depicts the $F(V)$ versus V curve of the Pt/ZnO thin film
 278 Schottky diode. The value of barrier height and series resistance
 279 obtained from Norde's technique using (8) and (9) are 62.5Ω and
 280 0.73 eV respectively. These values are in near accordance with
 281 value that obtained from the conventional thermionic emission
 282 model and Cheung's method, confirming the accuracy of our
 283 calculations.

C. $C-V$ Characteristics of Pt/ZnO Thin Film Schottky Diode 284

285 Fig. 7(a) displays the frequency dependence of $C-V$ char-
 286 acteristics of Pt/ZnO thin film Schottky diode. The graph il-
 287 lustrates that capacitance decreases with increasing frequency,
 288 which is an evidence for the existence of interface states and
 289 its progressive decrease of the response to the applied ac volt-
 290 age [27]. At lower frequencies, the interface states can faith-

291 fully follow the ac signal resulting in higher capacitance values;
 292 whereas at higher frequencies, contribution of interface states
 293 to total capacitance is very small resulting in lower capacitance
 294 value, since the charge at interface states cannot respond sponta-
 295 neously to the ac signal, [29]–[31]. The C – V characteristics can
 296 be studied with the help of reverse bias C^{-2} – V characteristics
 297 of the diode depicted in Fig. 7(b). The linear curve confirms
 298 that doping concentration N_d is constant throughout the depletion
 299 region. Depletion layer capacitance is given by following equa-
 300 tions.

$$\frac{1}{C^2} = \frac{2 \left(V_{bi} - V - \frac{kT}{q} \right)}{q \epsilon_s \epsilon_0 A^2 N_d} \quad (10)$$

$$\frac{d(C^{-2})}{d(V)} = \frac{2}{q \epsilon_s \epsilon_0 A^2 N_d} \quad (11)$$

301 where, V_{bi} is the built-in potential, obtained from the intercept of
 302 C^{-2} – V curve, ϵ_s the dielectric constant of the semiconductor
 303 ($9\epsilon_0$ for ZnO), ϵ_0 is the dielectric constant of vacuum ($8.85 \times$
 304 10^{-12} F/m), N_d is the concentration of ionized donors and A
 305 is the diode area [27], [29].

306 Carrier concentration N_d can be determined from the slope
 307 of C^{-2} – V curve, using (11) and found to be 1×10^{16} cm $^{-3}$.
 308 The barrier height of Pt/ZnO Schottky contact can be obtained
 309 from C – V measurements using (12).

$$\phi_b = q \left[V_{bi} + \frac{kT}{q} \ln \frac{N_c}{N_d} \right] \quad (12)$$

310 where, N_c is the density of states in the conduction band and
 311 its value is 3.5×10^{18} cm $^{-3}$ for ZnO at room temperature [22].
 312 The barrier height of Pt/ZnO thin film Schottky diode is deter-
 313 mined as 0.996 eV from the C – V measurement which is larger
 314 than the barrier heights obtained from the I – V measurements.
 315 This barrier height variation is possibly due to the effect of low-
 316 ering of barrier energy induced by image force for (Schottky
 317 effect), barrier in-homogeneities caused by intrinsic and extrin-
 318 sic factors such as grain boundaries, defects, etc., metal induced
 319 gap states and presence of an unavoidable interface layer be-
 320 tween metal and semiconductor surface [26].

321 The density of interface states, N_{ss} was calculated using I – V
 322 and C – V characteristics using (13) [22], [28]–[30].

$$N_{ss} = \frac{1}{q} \left[\frac{\epsilon_i}{\delta} \{ n(V) - 1 \} - \frac{\epsilon_s}{W_D} \right] \quad (13)$$

323 where, ϵ_i is the permittivity of interface layer, δ is the interface
 324 layer thickness, $n(V)$ is the voltage dependent ideality factor
 325 and W_D is the width of depletion layer which was obtained
 326 from C – V characteristics. The value of N_{ss} was obtained to be
 327 around 2.03×10^{15} eV $^{-1}$ cm $^{-2}$ at 0.5 V.

328 D. Gas Sensing Properties of Pt/ZnO Thin film

329 In the proposed sensor structure, the sensor element, i.e.,
 330 nanocrystalline ZnO thin film is provided with Pt catalytic dots
 331 or Schottky contacts on the surface. We represent the I – V char-
 332 acteristics of the sensor at different Hydrogen concentrations
 333 and air in Fig. 8, whereas its inset provides the schematic struc-
 334 ture of the sensor. We have also studied the Hydrogen sensing

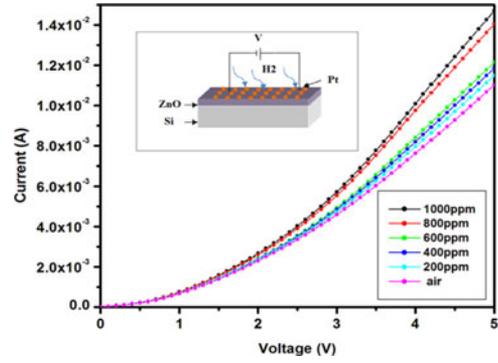


Fig. 8. I – V characteristics of the sensor at different Hydrogen concentrations at 350 °C., Inset shows schematic structure of proposed sensor.

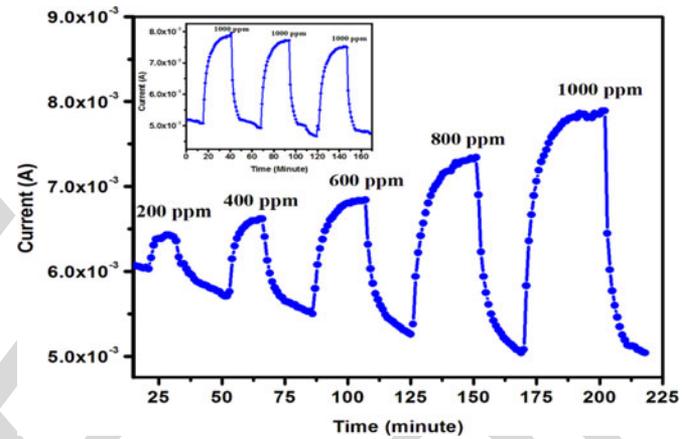


Fig. 9. Dynamic characteristic of the device at different concentrations of Hydrogen at 350 °C. Inset shows repeatability of device at 1000 ppm Hydrogen and 350 °C.

335 capability of the device by monitoring the current change caused
 336 by the adsorption and desorption of target gas (H_2) at 200 ppm-
 337 1000 ppm of Hydrogen at 350 °C. which is represented in Fig. 9
 338 and its inset elucidate the repeatability of device at the 1000 ppm
 339 Hydrogen. The Sensitivity of the device, S was defined as the
 340 relative variation of the current in the presence and absence of
 341 Hydrogen and is given by (14) [25], [26].

$$S(\%) = \left\{ \frac{I_{H_2} - I_{air}}{I_{air}} \right\} \times 100 \quad (14)$$

342 where, I_{air} is sensor current in the presence of air and I_{H_2} is
 343 the sensor current after exposing to H_2 gas. The sensitivity has
 344 been calculated using (14), and it is noted that sensitivity is di-
 345 rectly proportional to Hydrogen concentration, having values of
 346 6.46%, 15.7%, 23.9%, 39.8% and 57% at Hydrogen concentra-
 347 tions of 200 ppm, 400, 600, 800 and 1000 ppm respectively. We
 348 have observed a considerable drift in the baseline current of the
 349 sensor at different concentrations, which is explained in the later
 350 section. Response time of our sample is calculated as the time
 351 required for the sensor current to reach 90% of the saturation
 352 value in the presence of Hydrogen whereas recovery time is de-
 353 fined as the time required for the sensor current to return to 10%
 354 of its baseline value in the presence of synthetic air. It would be
 355 worth noting that, while response time increases with increase

TABLE I
ELECTRICAL AND GAS SENSING PARAMETERS OF Pt/ZnO THIN FILM SCHOTTKY DIODE

A					
Electrical characteristics of Pt/ZnO thin film Schottky diode					
Parameters	Conventional <i>I-V</i> method	Cheung's method			
		dV/dlnI versus I	H(I) versus I	Norde's method	
Ideality factor	2.5	2.34	–	–	
Barrier height (eV)	0.71	0.65	–	0.73	
Series resistance (Ω)	~95	96.2	96.6	62.5	
B					
Gas sensing characteristics of Pt/ZnO thin film Schottky diode					
Parameter	Concentration of Hydrogen				
	200	400	600	800	1000
Sensitivity (%)	6.46	15.7	23.9	39.8	57
Response(min)	2	5	6	11	15
Recovery(min)	2	4	4	3.5	3.5

in Hydrogen concentration, there is no significant change in recovery time as the target gas concentration increases. It is interesting to note that, in this study recovery time is less than the response time, and similar to observation as reported by Hassan *et al.* [26]. All the sensing parameters obtained from are listed in Table I. We have analyzed the possible reasons for the various trends observed in sensor performance and proposed justification for these trends in the following section.

A brief review on previously reported literature on the Hydrogen sensing characteristics of ZnO, incorporating Pt as the catalyst has been provided in Table II [17], [32]–[34]

E. Hydrogen Sensing Mechanism of Pt/ZnO Thin Film

The change in electrical conductivity of the sensor element upon introduction and removal of target gas can be explained as follows: when Pt/ZnO thin film junction is exposed to synthetic air, Oxygen molecules will get chemisorbed on ZnO surface, forming Oxygen ions by extracting electrons from the conduction band of ZnO. This will create a depletion region in the ZnO surface, causing high electrostatic potential across Pt/ZnO Schottky interface and decreasing the electrical conductivity of ZnO surface. The Pt dots on ZnO thin film can enhance the Oxygen spill over process, by providing a lower energy path for the gaseous species be adsorbed on the metal surface and then diffuse to the ZnO surface [35], [36]. This can result in a large amount of chemisorbed Oxygen. Oxygen chemisorptions reactions are represented as $O_{2(gas)} + e^- \rightarrow O_{2(ads)}^-$, $O_{2(ads)}^- + e^- \rightarrow 2O_{(ads)}^-$, $2O_{(ads)}^- + e^- \rightarrow O_{(ads)}^{2-}$ [24].

It is important to note that noble metals like Pt, Pd and Au on metal oxide surface can act as a catalyst for dissociation of Hydrogen into Hydrogen atoms [10], [37], [38]. The hydrogen atoms then diffuse through the Pt to reach Pt/ZnO interface and the built-in electric field causes the polarization of Hydrogen atoms, resulting in a dipole layer at Pt/ZnO interface, which will lowers the work function of metal and the Schottky barrier height, increasing the current flow [10], [39]. This is obvious from the *I-V* characteristics of the sensor in Fig. 8. The increase in electrical conductivity of sensor element upon exposure to Hydrogen can also be attributed to the surface reaction between

dissociated Hydrogen atoms and chemisorbed Oxygen species on ZnO thin film surface and the subsequent release of electrons to the conduction band of ZnO. The chemical reactions involved are reproduced below $4H + O_2^- \rightarrow 2H_2O + e^-$, $2H + O \rightarrow H_2O + e^-$, $2H + O^2 \rightarrow H_2O + 2e^-$ [25], [36].

In transient response curves given in Fig. 9, we observe a major drift in the baseline current value in the repeated exposure cycles of Hydrogen and synthetic air. This can be attributed to the inefficient chemisorptions of Oxygen molecules and incomplete desorption of Hydrogen atoms from ZnO thin film surface upon exposure to synthetic air [26]. It is also reported that noble metals like Pd and Pt undergo volume change upon repeated Hydrogen exposure, causing embrittlement effect and adversely affect the stability of sensor [38], [39]. However, high temperature enhances the desorption rate which is evidenced from the small recovery time. It is clear from Table I, that the response time increases with the increase in concentration of Hydrogen in the subsequent cycles. This can be attributed to the additional hydroxyl and water products formation during Hydrogen adsorption process. This event could also be the reason for the instability of baseline current value [37], [40].

The sensitivity, *S* as a function of Hydrogen concentration can empirically be expressed as in (15) [24], [41].

$$S = A(C_{(g)})^\beta + 1 \quad (15)$$

where *A* and β are empirically obtained parameters depends on the stoichiometry of surface reactions involved in gas sensing, C_g is the target gas concentration. It was reported that β is 0.5, if the adsorbed Oxygen species is O^{2-} and is 1 if the dominant adsorbed species is O^- [24], [35]. The equation in (15) can also be expressed as follows.

$$\log(S_g - 1) = \log A + \beta \log C_{(g)}. \quad (16)$$

The Fig. 10 depicts the plot of $\log(S_g - 1)$ versus $\log C_g$ which is linear according to (16) with a slope of $\beta = 0.95$. The value of β strongly recommends that the dominant adsorbed Oxygen species on the ZnO thin film surface is O^- . It is worth mentioning that doubly negative Oxygen ion (O^{2-}) is more efficient in depleting the ZnO surface and thus enhancing the sensitivity of Pt/ZnOSchottky junction compared to O^- [41].

TABLE II
A BRIEF SUMMARY OF ZNO BASED HYDROGEN SENSORS, INCORPORATING PT AS A CATALYST

Sensing Material	Deposition Technique	Concentration of Hydrogen	Sensing Performance	Ref
Pt-doped ZnO single layer film	Chemical precipitation	0.2%	$\sim 2 (R_{air}/R_{H_2})$ (330 °C)	[32]
Pt/ZnO Schottky diode	E-Beam Evaporation	5 ppm H ₂	Change of 50 mV bias at 8 mA.(298 K)	[33]
Pt/ZnO Schottky diode	CVD	10 000 ppm	Barrier height change = 207.8 mV(350 K)	[34]
Pt-coated ZnO thin film/Nanorod	PLD(thin film), MBE(Nanorod)	500 ppm	Response of nanorod is higher	[17]
ZnO thin film with Pt dots(<i>Current work</i>)	RF sputtering	1000 ppm	Relative Response of 57% (350 °C)	–

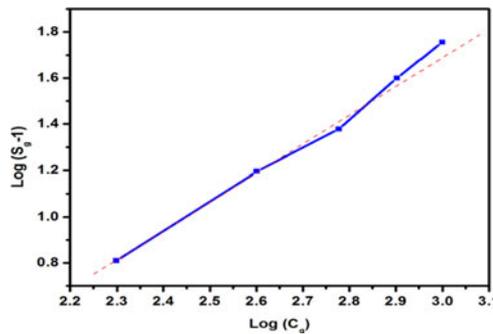


Fig. 10. $\log(S_g - 1)$ versus $\log C_g$ plot of Pt/ZnO thin film based Hydrogen sensor (dashed line represents the linear fit).

430 The less abundance of O^- on the Pt/ZnO thin film surface
431 could be a reason for the relatively low sensitivity of the proposed
432 device at the lower concentrations of Hydrogen.

433 The PL spectra of ZnO thin film elucidated in Fig. 3(a) is
434 devoid of any broad emission peaks except the major emission
435 peak at 380 nm which confirms that the ZnO thin film is
436 highly crystalline with minimum surface defects such as Oxygen
437 vacancies (V_O^{2+}). It is reported that defects such as Oxygen
438 vacancies can enhance the adsorption and desorption process
439 on the ZnO surface by making the nanostructure unstable and
440 increasing the adsorption sites on film surface [24]. Thus, the
441 minimum number of defects on ZnO thin film surface could
442 justify for higher response time of Pt/ZnO thin film surface at
443 higher concentrations.

444 We have obtained the optimum results at high temperature
445 (350 °C). Many reports have also confirmed this fact that, a
446 nanostructured ZnO-based gas sensors exhibits maximum sensitivity
447 at elevated temperatures usually above 300 °C. This could be
448 attributed to the fact that, Hydrogen atoms can overcome the
449 potential barrier to react with adsorbed Oxygen species only at
450 high temperatures [36], [41]. It is worth mentioning that the
451 factors such as surface to volume ratio, surface defects, quantity
452 of adsorption sites, etc., have significant impact on the surface-
453 controlled reactions involved in gas sensing mechanism of metal
454 oxide semiconductor-based gas sensors. The performance of
455 Pt/ZnO thin film junction can be further improved by optimizing
456 the surface morphology of sensor surface [40]–[42].

IV. CONCLUSION

458 In this manuscript, we have investigated the electrical and
459 gas sensing characteristics of Pt/ZnO thin film Schottky contacts
460 grown on n-Si substrate by RF sputtering. The structural

and optical properties of the ZnO thin film have been character-
461 ized by XRD, FE-SEM, EDX, UV and PL spectroscopy, which
462 has confirmed its good crystalline nature. We have estimated
463 characteristic parameters of Pt/ZnO Schottky diode such as barrier
464 height, ideality factor and series resistance, using ($I-V$) and
465 ($C-V$) characterizations being ~ 0.71 eV ($I-V$)/ ~ 0.996 eV
466 ($C-V$), ~ 2.25 and $\sim 95 \Omega$ respectively at room temperature. An
467 investigation on the gas sensing characteristics of the device
468 towards different concentrations of Hydrogen (200–1000 ppm)
469 at 350 °C revealed a maximum sensitivity of 57% at 1000 ppm
470 Hydrogen. The device has been proposed to be of simple and
471 easy to fabricate structure, having response increasing linearly
472 with Hydrogen concentration.
473

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Lintu Rajan received the B.Tech. degree in electronics and communication engineering from Mahatma Gandhi University, Kerala, India, in 2009, and the M.Tech. degree in VLSI design from Amrita Vishwa Vidyapeetham University, Kerala, India, in 2011. She is currently working toward the Ph.D. degree in the Department of Electronics and Communication Engineering, Malaviya National Institute of Technology, Jaipur, India. Her research interest includes Electrical and Gas sensing characteristics of ZnO thin film-based schottky diodes.



C. Periasamy received the B.E. degree in electronics and communication engineering from Periyar University, Tamilnadu, India, in 2002, and the Ph.D. degree in electronics engineering from the Indian Institute of Technology, Banaras Hindu University, Varanasi, India, in 2011. He is currently working as an Assistant Professor in the Department of Electronics Engineering, Malaviya National Institute of Technology, Jaipur, India. His research interests include design, fabrication and characterization of nanomaterial-based electronic and piezoelectronic devices, thin film-based gas sensors, etc. He has published more than 35 papers in various international journals and conference proceedings.



Vineet Sahula received the Bachelor's degree in electronics (Hons.) from the Malaviya National Institute of Technology, Jaipur, India, in 1987, the Master's degree in integrated electronics and circuits from the Indian Institute of Technology (IIT) Delhi, New Delhi, India, in 1989, and the Ph.D. degree from the Department of Electrical Engineering, IIT Delhi, in 2001. He is currently the Professor of Department of Electronics and Communications Engineering, Malaviya National Institute of Technology, Jaipur, India. He has published more than 50 journals and conference papers to his credit. His current research interests include high-level design, modeling and synthesis for analog and digital systems, and computer-aided design for micro-electro-mechanical systems.

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Comprehensive Study on Electrical and Hydrogen Gas Sensing Characteristics of Pt/ZnO Nanocrystalline Thin Film-Based Schottky Diodes Grown on N-Si Substrate Using RF Sputtering

Lintu Rajan, C. Periasamy, and Vineet Sahula, *Senior Member, IEEE*

Abstract—This paper presents a comprehensive study on the electrical characteristics of Pt/ZnO thin film Schottky contacts fabricated on n-Si substrates by RF sputtering, and its application as a Hydrogen sensor. The basic structural, surface morphological, and optical properties of the ZnO thin film were also been explored. Pt/ZnO thin film junction was characterized using current–voltage (I – V) and capacitance–voltage (C – V) measurements at room temperature, exhibiting rectifying behavior with barrier height, ideality factor and series resistance of 0.71 eV (I – V)/0.996 eV(C – V), 2.5 and $\sim 95 \Omega$ respectively. The lack of congruence between the values of Schottky barrier heights calculated from I – V and C – V measurements is interpreted. Cheung’s method and modified Norde’s functions were employed along with the conventional thermionic emission model, to incorporate the impact of series resistance in the calculation of diode parameters. We unveiled, the Hydrogen sensing characteristics displayed by the Pt/ZnO thin film-based sensor to different concentrations (200–1000 ppm) of Hydrogen at 350 °C. The sensor has exhibited good recoverable transient characteristics under a series of Hydrogen exposure cycles with a maximum sensitivity of 57% at 1000 ppm of Hydrogen.

Index Terms—Electrical characteristics, hydrogen sensing, metal-semiconductor interface, schottky diode, zinc oxide (ZnO) thin film.

I. INTRODUCTION

INC Oxide have garnered widespread attention for the use in diverse applications such as gas sensors, lasers, solar cells, photo detectors, photo catalysts etc, owing to its distinctive properties such as good chemical and thermal stability, wide band gap (3.3 eV), high exciton binding energy (60 meV), high mobility of conducting electrons etc [1]–[6]. ZnO can form excellent Schottky or rectifying contact with high work function noble metals like Pt, Au and Pd and it is the one of the remarkable property due to which ZnO has attracted much research interest among solid state electronic researchers [1]–[10]. The rapid

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The authors are with the Malaviya National Institute of Technology, Jaipur 302017, India (e-mail: linturajan08@gmail.com; cpsamy.ece@mniit.ac.in; vsahula.ece@mniit.ac.in).

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progress of ZnO devices like diodes, sensors, detectors, etc demand the proper understanding and controllability of its metal contacts.

Metal Schottky contact on ZnO was first studied by Mead and Neville [1]. Tsiarapas *et al.* and Yadav *et al.* investigated the Pd Schottky contact on ZnO and reported an ideality factor of ~ 1.21 and ~ 1.5 respectively [2], [3]. Aydogan *et al.* reported the electrical characterization of Au/n-ZnO Schottky contacts on n-Si using electro deposition technique with an ideality factor of ~ 1.21 [4]. Periasamy and Chakrabarti also studied Pt contact on ZnO thin film using vacuum coating technique with an ideality factor of 1.52 [5]. Li *et al.* and Lp *et al.* reported the fabrication of Pt Schottky contacts on ZnO using pulse laser deposition with an ideality factor of ~ 2.96 and ~ 1.7 respectively [8], [11]. However, there is no significant report on fabrication and characterization of RF Sputtered Pt/ZnO Schottky contact on n-Si substrate for gas sensing applications.

Hydrogen has got heightened focus due to its importance in the present context where the shift to greener source of energy is highly demanding and Hydrogen can act as an alternative to fossil fuels. Extensive research in Hydrogen sensing is highly recommended as it is a colorless, odorless and highly inflammable gas which can spontaneously ignite with Oxygen forming potentially explosive mixtures [10]–[13]. Majority of commercial gas sensors are based on thin/thick layers or nanostructure-based metal oxide semiconductors, such as SnO_2 , TiO_2 , Fe_2O_3 , ZnO, etc., integrated with heaters to raise the temperature to the achieve the optimum performance. ZnO nanostructure-based gas sensors simulated an exciting interest recently, due to their notable advantages, such as high surface to volume ratio, easy to fabricate structure, good chemical and thermal stability, better response, etc., [14]–[16]. Nanocrystalline thin films are having the additional advantage of reproducibility of film quality and ease of deposition. Also, it was reported that thin films possess better recovery characteristics compared to other configurations [17]. Noble metals like Pt, Pd and Au are considered to be important catalysts for Hydrogen sensing reactions [10].

We have investigated the Hydrogen sensing characteristics of Pt/ZnO thin film in this study. To the best of our knowledge, the proposed sensor structure, based on ZnO thin film with Pt catalytic dots on the surface, having the advantage of simple and easy to fabricate structure and devoid of any additional electrical contacts other than Pt catalytic contacts on the surface has not yet reported. We have discussed the trends in sensor performance and have tried to give an adequate explanation for

85 these trends. We have also discussed the basic microstructure
86 study and optical properties of RF sputtered ZnO thin film.

87 II. EXPERIMENTAL DETAILS

88 A. ZnO Thin Film Fabrication

89 ZnO thin film was deposited on the n-Si substrate (Resistivity
90 of 1–10 Ω) by RF sputtering system equipped with high purity
91 ZnO (99.99%) target. Before loading into the sputtering system,
92 Si substrate of thickness 425 μm was organically and ionically
93 cleaned using standard RCA process. The substrate was loaded
94 into a magnetron sputtering system with a target to substrate
95 distance fixed at 11 cm. Mechanical rotary and turbo pump
96 were used to evacuate the sputtering chamber to the pressure
97 of 1.5×10^{-6} Torr, before generation of plasma activated by
98 RF power of 100 W. Sputtering gas used was Argon (99.999%
99 pure) and its concentration was kept at 15 SCCM (standard cubic
100 centimeter per minute) using the mass flow controller (MFC).
101 To remove the impurities on ZnO target, it was pre-sputtered for
102 10 min before deposition. ZnO thin film was sputter deposited
103 on substrates at the pressure of 1.8×10^{-2} Torr for 40 min. After
104 deposition, rapid thermal annealing was performed at 450 $^{\circ}\text{C}$
105 for 10 min to improve the crystallinity of the film. The film
106 thickness was measured by the surface profiler and was around
107 200 nm.

108 B. ZnO Thin Film Characterization

109 The crystalline properties of ZnO thin film were characterized
110 by X-ray diffraction (XRD) using 18 kW Cu rotating-anode-
111 based X-ray diffractometer (Panalytical X Pert Pro) with Cu
112 $K\alpha$ as the line source ($\lambda = 1.542 \text{ \AA}$). A Field emission scanning
113 electron microscope (Nova Nano FE-SEM, 450) and Energy
114 Dispersive X-ray (EDX) Spectroscopy (EDS-INCA, Oxford Instru-
115 ments, UK) were used to investigate the surface morphologies
116 and elemental compositions of the thin film respectively.
117 Photoluminescence (PL) measurements at room temperatures
118 were carried out using fluorescence spectrometer (Perkin Elmer,
119 LS55) which uses a He–Cd laser of 325 nm emission line. Opti-
120 cal transmittance and absorbance of the film was measured
121 using UV/VIS/NIS spectrometer (Perkin Elmer, Lambda 750),
122 which uses deuterium and tungsten halogen light sources. All
123 measurements were carried out at room temperature.

124 C. Device Fabrication and Characterization

125 To study the electrical properties and gas sensing behavior
126 of ZnO thin film with Pt catalytic dots, an array of Pt Schottky
127 contacts each of area $3.8 \times 10^{-3} \text{ cm}^2$ and thickness 80 nm was
128 fabricated on ZnO/n-Si substrate using RF sputtering through
129 shadow mask technique. An 80 nm thick Al layer was also
130 deposited on the back side of n-Si substrate by e-beam evapora-
131 tion to obtain the ohmic contact. The fabricated device was
132 then undergone rapid thermal annealing at 450 $^{\circ}\text{C}$ for 5 min to
133 realize good electrical conductivity and better contact quality.
134 The Fig. 1(a) shows the FE-SEM image (Top view) of Pt Schot-
135 tky contacts arrays fabricated on the ZnO thin film, whereas the
136 EDX spectrum is shown in Fig. 1(b). EDX spectrum exhibits an
137 Oxygen peak at energy about 0.52 keV, two Zn peaks at 1.012

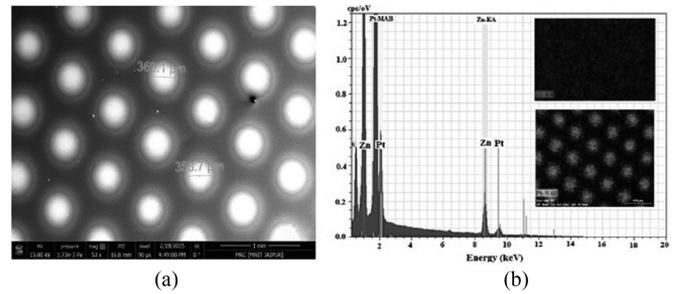


Fig. 1. (a) FE-SEM image of Pt Schottky contacts grown on ZnO thin film. (b) EDX spectra of Pt/ZnO Schottky diodes, the inset shows the mapping data of ZnO (red) and Pt dots (green).

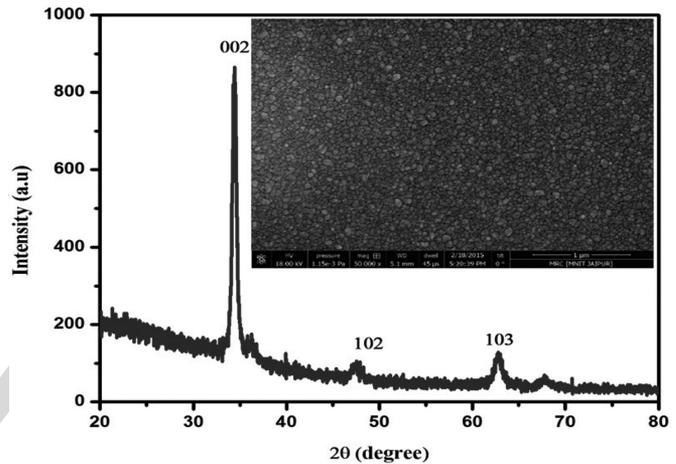


Fig. 2. The XRD pattern of ZnO thin film grown on Si substrate. Inset shows FE-SEM image of ZnO thin film.

and 8.63 keV and two Pt peaks at 9.44 and 2.048 keV, confirming
the presence of O, Zn and Pt elements respectively in our sample. The inset of Fig. 1(b) shows the elemental mapping of ZnO and Pt Schottky contacts in the sample. $I - V$ and $C - V$ measurements were obtained using Agilent B1500A semiconductor device analyzer. The gas sensing characteristics were obtained using a computer controlled gas sensing set up comprises of a static mixer, MFC, Keithley-237 source measure unit, a heater made of halogen bulb covered by graphite and a data acquisition system. The device was introduced to several gas exposure cycles of target gas of different concentrations and synthetic air at 350 $^{\circ}\text{C}$.

150 III. RESULTS AND DISCUSSIONS

151 A. Structural and Optical Properties of ZnO Thin Film

152 In Fig. 2 we present the XRD spectrum for the RF sputtered
153 ZnO thin film on the silicon substrate in the range of 2θ from
154 20° to 80° . It is having highest peak of [002] appears at around
155 $2\theta = 34.33^{\circ}$ which is near to that of reference strain free ZnO
156 film peak (34.421°) indicating good crystal quality of film with
157 reduced stress. This also confirms that the film is preferentially
158 C-axis oriented with hexagonal wurtzite structure. The Crystalline
159 size (D) of ZnO thin film is calculated using the Scherrer
160 formula from the full width at half maximum for the prominent

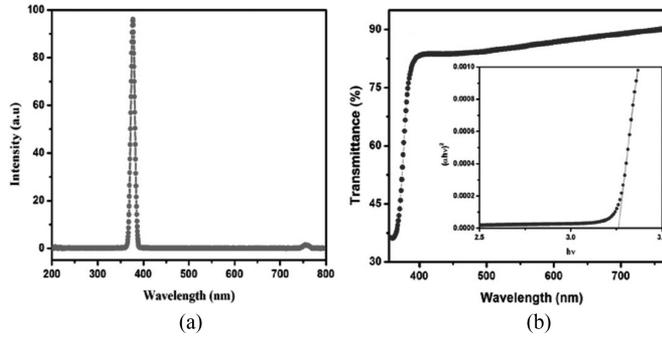


Fig. 3. (a) Room-temperature PL spectra of ZnO thin film on Si substrate. (b) Optical transmittance spectra of ZnO thin film as a function of wavelength. Inset shows the $(\alpha hv)^2$ versus hv plot of ZnO thin film for band gap calculation.

(002) peak [18] and is around 18 nm. The lattice constant c and interplanar spacing d are evaluated to be 0.52033 and 0.2602 nm respectively. These values are in good accordance with the experimental results published by others [18], [19]. Inset of Fig. 2 depicts the FE-SEM image of the nanocrystalline ZnO thin film grown on n-Si substrate, which demonstrates that nanostructure grains are uniformly distributed having diameter of about 20 nm.

The PL characteristics of the ZnO thin films were procured in the wavelength range of 200–800 nm at room temperature and is depicted in Fig. 3(a). The film shows a strong UV emission peak at 375 nm which is known as near band edge emission, and it is attributed to the free exciton recombination. The absence of other major peaks, except UV emission confirms high crystallinity of the deposited ZnO thin films with fewer surface defects such as Oxygen vacancies [20]. The band gap of ZnO thin film is obtained as 3.28 eV from PL spectra.

The optical transmittance and absorbance of the film were measured by UV-Visible spectrometer in the range of 200–800 nm. Transmission spectra depicted in the Fig. 3(b) shows good optical transmission in the visible wavelength region (380–780 nm), confirming that sputtered ZnO thin film is transparent in the visible wavelength range. The optical band gap, for the film can be obtained by extrapolating the linear portions of $(\alpha hv)^2$ versus hv to $\alpha hv = 0$, which is illustrated in the inset of Fig. 3(b) and its value is obtained as 3.26 eV [18]–[20].

B. I – V Characteristics of Pt/ZnO Thin Film Schottky Diode

The Pt/ZnO thin film Schottky diodes were electrically characterized using Agilent B1500A semiconductor device analyzer under dark condition. The fabricated Pt/ZnO/n-Si/Al structure is schematically represented in the inset of Fig. 4(a); whereas Fig. 4(a) shows the In I – V characteristics of the structure, which confirms the formation of Schottky junction at the Pt/ZnO interface having rectifying ratio I_F/I_R of 637 at ± 1 V. Since the Al/n-ZnO/Si/Al junction shows ohmic behavior as depicted in Fig. 4(b), the proposed structure behaves as vertical Pt/ZnO Schottky diode similar to structures reported by authors in [2]–[4], [21], [22]. We have measured I – V characteristics of about five Schottky diodes in the array. All of them exhibited the same characteristics. The Schottky diode parameters were extracted using three different techniques such as conventional thermionic emission model, Cheung’s method and

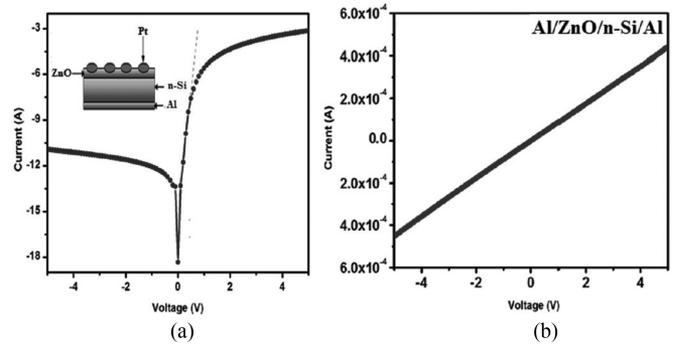


Fig. 4. (a) In I – V characteristic for Pt/ZnO thin film interface (dashed line represents linear fit). The inset shows I – V measurement set up. (b) The ohmic I – V characteristic of Al/n-ZnO/n-Si/Al junction.

Norde’s method, which are now discussed in detail. In the conventional method of calculation, we have extracted the electronic parameters such as saturation current, barrier height, and ideality factor of Pt/ZnO Schottky diode by assuming thermionic emission as the only carrier transport mechanism. The forward I – V characteristics of the Schottky junction is investigated with help of thermionic emission model, in which current for positive bias voltage is given by (1), neglecting the series resistance [21]–[26].

$$I \approx I_0 \left[\exp \left(\frac{qV}{\eta kT} \right) - 1 \right] \quad (1)$$

where, I_0 is the saturation current as depicted in (2), q is the electronic charge, V is the applied voltage, η is the ideality factor, k is the Boltzmann constant and T is the temperature.

$$I_0 = AA^* T^2 \exp \left(\frac{-q\phi_b}{kT} \right) \quad (2)$$

where A is the diode area, A^* ($= 32 \text{ cm}^{-2} \text{ K}^{-2}$) is the Richardson constant, ϕ_b is the effective barrier height at zero bias [1], [3], [5]. We have calculated the slope of low voltage linear region (0.1 V to 0.3 V) of forward bias In I – V characteristics and obtained the value of ideality factor as 2.5 at room temperature using (3) [1], [3], [5].

$$\eta = \frac{q}{kT} \frac{dV}{d \ln(I)}. \quad (3)$$

The departure of ideality factor from the ideal value of 1 indicates the existence of different current conduction mechanisms other than thermionic emission in the Schottky junction like tunneling, tunneling-recombination, field emission etc, presence of series resistance and interfacial layer between metal and semiconductor [23], [27]. The saturation current is calculated from the vertical axis intercept of In I – V plot and obtained to be 1.5×10^{-8} A. Effective Barrier height of the Schottky junction is calculated to be 0.71 eV using (2).

These values are in good congruence with the results published by other researchers [5], [8]. According to Schottky–Mott theory, the ideal value of the barrier height for Pt/ZnO Schottky contact varies from 1.3 to 1.95 eV which is given by the difference between work function of Pt and electron affinity of ZnO [23]. The non-ideal values of barrier height (0.71 eV)

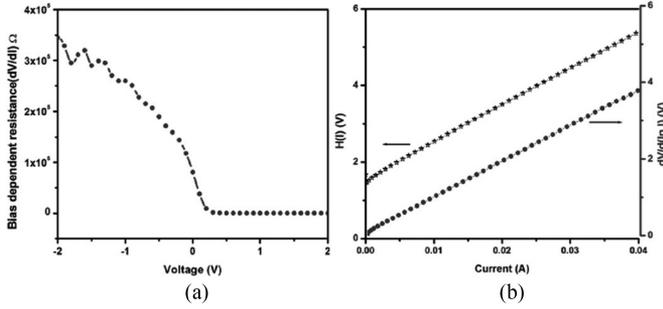


Fig. 5. (a) Bias voltage dependent diode resistance (R_i) of Pt/ZnO interface. (b) Plots of $dv/d(\ln I)$ versus I and $H(I) - I$ of Pt/ZnO thin film diode obtained from experimental $I-V$ data in Fig. 4(a).

236 and ideality factor (2.5) measured from $I-V$ characteristic can
 237 be credited to the presence of interface states between metal (Pt)
 238 electrode and semiconductor (ZnO) surface, Fermi energy level
 239 pinning caused by surface states, barrier inhomogeneities and
 240 certain other interface specific effects [1], [2], [23], [27].

241 In order to gain insight regarding the value of series resistance,
 242 we studied bias dependent resistance, R_i ($R_i = dV/dI$)
 243 versus V plot as shown in Fig. 5(a). It is obvious from the plot
 244 that that R_i has got a bias independent constant value of around
 245 95 Ω at higher voltages, and this corresponds to series resistance
 246 [22]. To incorporate the effect of series resistance, R_s in
 247 our Schottky diode parameter calculations, we have employed
 248 the analysis technique reported by Cheung and Cheung [28].
 249 Cheung's functions can be written as:

$$\frac{dV}{d(\ln I)} = \frac{\eta kT}{q} + IR_s \quad (4)$$

$$H(I) = V - \frac{\eta kT}{q} \ln \left(\frac{I}{AA^*T^2} \right) \quad (5)$$

$$H(I) = \eta\phi_b + IR_s. \quad (6)$$

250
 251 From the slope and vertical axis intercept of $dv/d(\ln I)$ ver-
 252 sus I plot displayed in Fig. 5(b), value of series resistance (R_s)
 253 and ideality factor η are obtained as 96.2 Ω and 2.34 respec-
 254 tively. In order to determine the value of barrier height, we
 255 have also plotted $H(I)$ versus I curve using the value of ideal-
 256 ity factor obtained from $dv/d(\ln I)$ versus I plot. Schottky
 257 barrier height (ϕ_b) is extracted from the vertical axis intercept
 258 of $H(I)$ versus I plot given in the Fig. 5(b) and is obtained as
 259 0.65 eV, whereas its slope will give the value of series resistance,
 260 which is equal to 96.6 Ω . There is a disparity in the Schottky
 261 barrier height value obtained from conventional thermionic
 262 emission model and Cheung's technique. This is because, Cheung's
 263 plots are obtained from the downward curvature region of
 264 $I-V$ characterization, which strongly suggests the presence of
 265 series resistance and insulating interfacial layer across Pt/ZnO
 266 Schottky junction, whereas parameters are calculated from low
 267 voltage linear region of $I-V$ characteristic in thermionic emis-
 268 sion model. Furthermore, the closely agreed values of series
 269 resistance calculated from both the plots of Fig. 5(b), is a proof
 270 for the consistency of Cheung's approach of calculations [2],

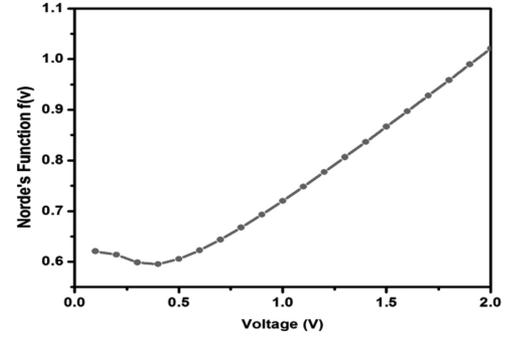


Fig. 6. $F(V)$ versus V plots obtained from the experimental $I-V$ data in Fig. 4(a).

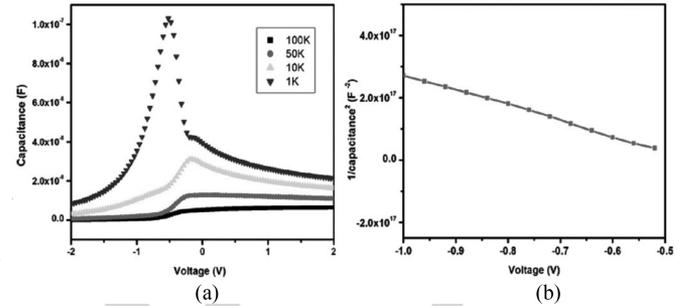


Fig. 7. (a) The frequency dependent $C-V$ characteristics of Pt/ZnO Schottky diode. (b) $C^{-2} - V$ characteristics of Pt/ZnO Schottky diode at $f = 50$ KHz.

[4], [28]. An alternative approach developed by Norde is also
 271 employed to determine the value of series resistance [29], [30].
 272 The Norde function is described as in (7).
 273

$$F(V) = \frac{V}{\gamma} - \frac{kT}{q} \ln \left(\frac{I(V)}{AA^*T^2} \right) \quad (7)$$

$$\phi_b = F(V_{\min}) + \frac{V_o}{\gamma} - \frac{kT}{q} \quad (8)$$

$$R_s = \frac{kT(\gamma - n)}{qI} \quad (9)$$

where γ is an integer greater than ideality factor (here 3), $I(V)$
 274 is the current obtained from $I-V$ curve, $F(V_{\min})$ is the minimum
 275 value of $F(V)$ and V_o is the voltage corresponding to $F(V_{\min})$.
 276 Fig. 6 depicts the $F(V)$ versus V curve of the Pt/ZnO thin film
 277 Schottky diode. The value of barrier height and series resistance
 278 obtained from Norde's technique using (8) and (9) are 62.5 Ω and
 279 0.73 eV respectively. These values are in near accordance with
 280 value that obtained from the conventional thermionic emission
 281 model and Cheung's method, confirming the accuracy of our
 282 calculations.
 283

C. $C-V$ Characteristics of Pt/ZnO Thin Film Schottky Diode 284

Fig. 7(a) displays the frequency dependence of $C-V$ char-
 285 acteristics of Pt/ZnO thin film Schottky diode. The graph il-
 286 lustrates that capacitance decreases with increasing frequency,
 287 which is an evidence for the existence of interface states and
 288 its progressive decrease of the response to the applied ac volt-
 289 age [27]. At lower frequencies, the interface states can faith-
 290

291 fully follow the ac signal resulting in higher capacitance values;
 292 whereas at higher frequencies, contribution of interface states
 293 to total capacitance is very small resulting in lower capacitance
 294 value, since the charge at interface states cannot respond sponta-
 295 neously to the ac signal, [29]–[31]. The C – V characteristics can
 296 be studied with the help of reverse bias C^{-2} – V characteristics
 297 of the diode depicted in Fig. 7(b). The linear curve confirms
 298 that doping concentration N_d is constant throughout the deple-
 299 tion region. Depletion layer capacitance is given by following
 300 equations.

$$\frac{1}{C^2} = \frac{2 \left(V_{bi} - V - \frac{kT}{q} \right)}{q \epsilon_s \epsilon_0 A^2 N_d} \quad (10)$$

$$\frac{d(C^{-2})}{d(V)} = \frac{2}{q \epsilon_s \epsilon_0 A^2 N_d} \quad (11)$$

301 where, V_{bi} is the built-in potential, obtained from the intercept of
 302 C^{-2} – V curve, ϵ_s the dielectric constant of the semiconductor
 303 ($9\epsilon_0$ for ZnO), ϵ_0 is the dielectric constant of vacuum ($8.85 \times$
 304 10^{-12} F/m), N_d is the concentration of ionized donors and A
 305 is the diode area [27], [29].

306 Carrier concentration N_d can be determined from the slope
 307 of C^{-2} – V curve, using (11) and found to be 1×10^{16} cm $^{-3}$.
 308 The barrier height of Pt/ZnO Schottky contact can be obtained
 309 from C – V measurements using (12).

$$\phi_b = q \left[V_{bi} + \frac{kT}{q} \ln \frac{N_c}{N_d} \right] \quad (12)$$

310 where, N_c is the density of states in the conduction band and
 311 its value is 3.5×10^{18} cm $^{-3}$ for ZnO at room temperature [22].
 312 The barrier height of Pt/ZnO thin film Schottky diode is deter-
 313 mined as 0.996 eV from the C – V measurement which is larger
 314 than the barrier heights obtained from the I – V measurements.
 315 This barrier height variation is possibly due to the effect of low-
 316 ering of barrier energy induced by image force for (Schottky
 317 effect), barrier in-homogeneities caused by intrinsic and extrin-
 318 sic factors such as grain boundaries, defects, etc., metal induced
 319 gap states and presence of an unavoidable interface layer be-
 320 tween metal and semiconductor surface [26].

321 The density of interface states, N_{ss} was calculated using I – V
 322 and C – V characteristics using (13) [22], [28]–[30].

$$N_{ss} = \frac{1}{q} \left[\frac{\epsilon_i}{\delta} \{ n(V) - 1 \} - \frac{\epsilon_s}{W_D} \right] \quad (13)$$

323 where, ϵ_i is the permittivity of interface layer, δ is the interface
 324 layer thickness, $n(V)$ is the voltage dependent ideality factor
 325 and W_D is the width of depletion layer which was obtained
 326 from C – V characteristics. The value of N_{ss} was obtained to be
 327 around 2.03×10^{15} eV $^{-1}$ cm $^{-2}$ at 0.5 V.

328 D. Gas Sensing Properties of Pt/ZnO Thin film

329 In the proposed sensor structure, the sensor element, i.e.,
 330 nanocrystalline ZnO thin film is provided with Pt catalytic dots
 331 or Schottky contacts on the surface. We represent the I – V char-
 332 acteristics of the sensor at different Hydrogen concentrations
 333 and air in Fig. 8, whereas its inset provides the schematic struc-
 334 ture of the sensor. We have also studied the Hydrogen sensing

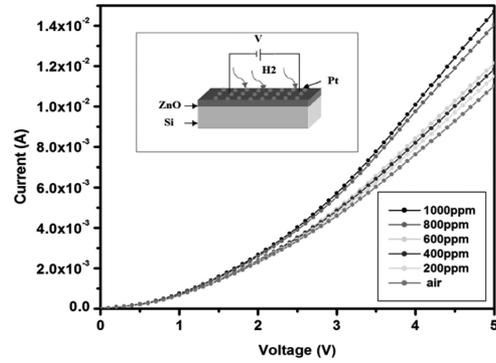


Fig. 8. I – V characteristics of the sensor at different Hydrogen concentrations at 350 °C., Inset shows schematic structure of proposed sensor.

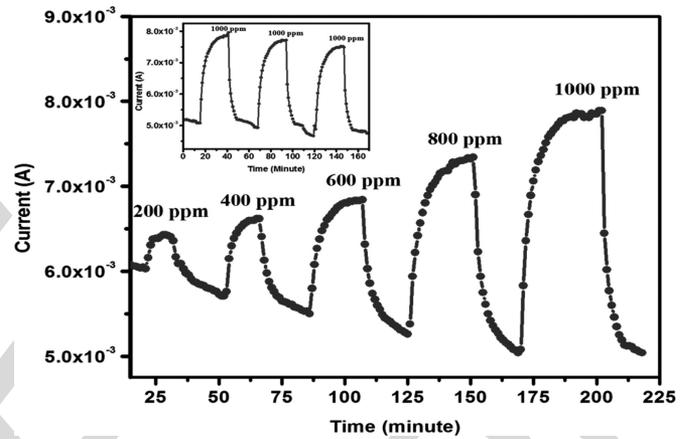


Fig. 9. Dynamic characteristic of the device at different concentrations of Hydrogen at 350 °C. Inset shows repeatability of device at 1000 ppm Hydrogen and 350 °C.

335 capability of the device by monitoring the current change caused
 336 by the adsorption and desorption of target gas (H_2) at 200 ppm-
 337 1000 ppm of Hydrogen at 350 °C. which is represented in Fig. 9
 338 and its inset elucidate the repeatability of device at the 1000 ppm
 339 Hydrogen. The Sensitivity of the device, S was defined as the
 340 relative variation of the current in the presence and absence of
 341 Hydrogen and is given by (14) [25], [26].

$$S(\%) = \left\{ \frac{I_{H_2} - I_{air}}{I_{air}} \right\} \times 100 \quad (14)$$

342 where, I_{air} is sensor current in the presence of air and I_{H_2} is
 343 the sensor current after exposing to H_2 gas. The sensitivity has
 344 been calculated using (14), and it is noted that sensitivity is di-
 345 rectly proportional to Hydrogen concentration, having values of
 346 6.46%, 15.7%, 23.9%, 39.8% and 57% at Hydrogen concentra-
 347 tions of 200 ppm, 400, 600, 800 and 1000 ppm respectively. We
 348 have observed a considerable drift in the baseline current of the
 349 sensor at different concentrations, which is explained in the later
 350 section. Response time of our sample is calculated as the time
 351 required for the sensor current to reach 90% of the saturation
 352 value in the presence of Hydrogen whereas recovery time is de-
 353 fined as the time required for the sensor current to return to 10%
 354 of its baseline value in the presence of synthetic air. It would be
 355 worth noting that, while response time increases with increase

TABLE I
ELECTRICAL AND GAS SENSING PARAMETERS OF Pt/ZnO THIN FILM SCHOTTKY DIODE

A					
Electrical characteristics of Pt/ZnO thin film Schottky diode					
Parameters	Conventional <i>I-V</i> method	Cheung's method			
		dV/dlnI versus I	H(I) versus I	Norde's method	
Ideality factor	2.5	2.34	–	–	
Barrier height (eV)	0.71	0.65	–	0.73	
Series resistance (Ω)	~95	96.2	96.6	62.5	
B					
Gas sensing characteristics of Pt/ZnO thin film Schottky diode					
Parameter	Concentration of Hydrogen				
	200	400	600	800	1000
Sensitivity (%)	6.46	15.7	23.9	39.8	57
Response(min)	2	5	6	11	15
Recovery(min)	2	4	4	3.5	3.5

in Hydrogen concentration, there is no significant change in recovery time as the target gas concentration increases. It is interesting to note that, in this study recovery time is less than the response time, and similar to observation as reported by Hassan *et al.* [26]. All the sensing parameters obtained from are listed in Table I. We have analyzed the possible reasons for the various trends observed in sensor performance and proposed justification for these trends in the following section.

A brief review on previously reported literature on the Hydrogen sensing characteristics of ZnO, incorporating Pt as the catalyst has been provided in Table II [17], [32]–[34]

E. Hydrogen Sensing Mechanism of Pt/ZnO Thin Film

The change in electrical conductivity of the sensor element upon introduction and removal of target gas can be explained as follows: when Pt/ZnO thin film junction is exposed to synthetic air, Oxygen molecules will get chemisorbed on ZnO surface, forming Oxygen ions by extracting electrons from the conduction band of ZnO. This will create a depletion region in the ZnO surface, causing high electrostatic potential across Pt/ZnO Schottky interface and decreasing the electrical conductivity of ZnO surface. The Pt dots on ZnO thin film can enhance the Oxygen spill over process, by providing a lower energy path for the gaseous species be adsorbed on the metal surface and then diffuse to the ZnO surface [35], [36]. This can result in a large amount of chemisorbed Oxygen. Oxygen chemisorptions reactions are represented as $O_{2(gas)} + e^- \rightarrow O_{2(ads)}^-$, $O_{2(ads)}^- + e^- \rightarrow 2O_{(ads)}^-$, $2O_{(ads)}^- + e^- \rightarrow O_{(ads)}^{2-}$ [24].

It is important to note that noble metals like Pt, Pd and Au on metal oxide surface can act as a catalyst for dissociation of Hydrogen into Hydrogen atoms [10], [37], [38]. The hydrogen atoms then diffuse through the Pt to reach Pt/ZnO interface and the built-in electric field causes the polarization of Hydrogen atoms, resulting in a dipole layer at Pt/ZnO interface, which will lowers the work function of metal and the Schottky barrier height, increasing the current flow [10], [39]. This is obvious from the *I-V* characteristics of the sensor in Fig. 8. The increase in electrical conductivity of sensor element upon exposure to Hydrogen can also be attributed to the surface reaction between

dissociated Hydrogen atoms and chemisorbed Oxygen species on ZnO thin film surface and the subsequent release of electrons to the conduction band of ZnO. The chemical reactions involved are reproduced below $4H + O_2^- \rightarrow 2H_2O + e^-$, $2H + O \rightarrow H_2O + e^-$, $2H + O^{2-} \rightarrow H_2O + 2e^-$ [25], [36].

In transient response curves given in Fig. 9, we observe a major drift in the baseline current value in the repeated exposure cycles of Hydrogen and synthetic air. This can be attributed to the inefficient chemisorptions of Oxygen molecules and incomplete desorption of Hydrogen atoms from ZnO thin film surface upon exposure to synthetic air [26]. It is also reported that noble metals like Pd and Pt undergo volume change upon repeated Hydrogen exposure, causing embrittlement effect and adversely affect the stability of sensor [38], [39]. However, high temperature enhances the desorption rate which is evidenced from the small recovery time. It is clear from Table I, that the response time increases with the increase in concentration of Hydrogen in the subsequent cycles. This can be attributed to the additional hydroxyl and water products formation during Hydrogen adsorption process. This event could also be the reason for the instability of baseline current value [37], [40].

The sensitivity, *S* as a function of Hydrogen concentration can empirically be expressed as in (15) [24], [41].

$$S = A(C_g)^\beta + 1 \quad (15)$$

where *A* and β are empirically obtained parameters depends on the stoichiometry of surface reactions involved in gas sensing, C_g is the target gas concentration. It was reported that β is 0.5, if the adsorbed Oxygen species is O^{2-} and is 1 if the dominant adsorbed species is O^- [24], [35]. The equation in (15) can also be expressed as follows.

$$\log(S_g - 1) = \log A + \beta \log C_g \quad (16)$$

The Fig. 10 depicts the plot of $\log(S_g - 1)$ versus $\log C_g$ which is linear according to (16) with a slope of $\beta = 0.95$. The value of β strongly recommends that the dominant adsorbed Oxygen species on the ZnO thin film surface is O^- . It is worth mentioning that doubly negative Oxygen ion (O^{2-}) is more efficient in depleting the ZnO surface and thus enhancing the sensitivity of Pt/ZnOSchottky junction compared to O^- [41].

TABLE II
A BRIEF SUMMARY OF ZNO BASED HYDROGEN SENSORS, INCORPORATING PT AS A CATALYST

Sensing Material	Deposition Technique	Concentration of Hydrogen	Sensing Performance	Ref
Pt-doped ZnO single layer film	Chemical precipitation	0.2%	$\sim 2 (R_{air}/R_{H_2}) (330^\circ\text{C})$	[32]
Pt/ZnO Schottky diode	E-Beam Evaporation	5 ppm H_2	Change of 50 mV bias at 8 mA.(298 K)	[33]
Pt/ZnO Schottky diode	CVD	10 000 ppm	Barrier height change = 207.8 mV(350 K)	[34]
Pt-coated ZnO thin film/Nanorod	PLD(thin film), MBE(Nanorod)	500 ppm	Response of nanorod is higher	[17]
ZnO thin film with Pt dots(<i>Current work</i>)	RF sputtering	1000 ppm	Relative Response of 57% (350 °C)	–

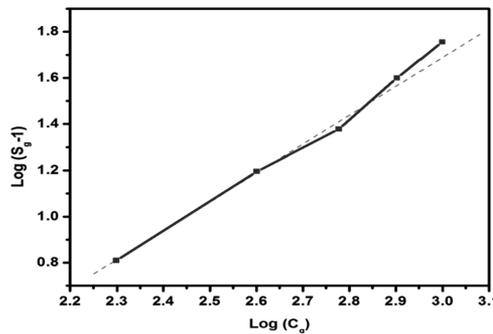


Fig. 10. $\log(S_g - 1)$ versus $\log C_g$ plot of Pt/ZnO thin film based Hydrogen sensor (dashed line represents the linear fit).

430 The less abundance of O^- on the Pt/ZnO thin film surface
431 could be a reason for the relatively low sensitivity of the proposed
432 device at the lower concentrations of Hydrogen.

433 The PL spectra of ZnO thin film elucidated in Fig. 3(a) is
434 devoid of any broad emission peaks except the major emission
435 peak at 380 nm which confirms that the ZnO thin film is
436 highly crystalline with minimum surface defects such as Oxygen
437 vacancies (V_O^{2+}). It is reported that defects such as Oxygen
438 vacancies can enhance the adsorption and desorption process
439 on the ZnO surface by making the nanostructure unstable and
440 increasing the adsorption sites on film surface [24]. Thus, the
441 minimum number of defects on ZnO thin film surface could
442 justify for higher response time of Pt/ZnO thin film surface at
443 higher concentrations.

444 We have obtained the optimum results at high temperature
445 (350 °C). Many reports have also confirmed this fact that, a
446 nanostructured ZnO-based gas sensors exhibits maximum sensitivity
447 at elevated temperatures usually above 300 °C. This could be
448 attributed to the fact that, Hydrogen atoms can overcome the
449 potential barrier to react with adsorbed Oxygen species only at
450 high temperatures [36], [41]. It is worth mentioning that the
451 factors such as surface to volume ratio, surface defects, quantity
452 of adsorption sites, etc., have significant impact on the surface-
453 controlled reactions involved in gas sensing mechanism of metal
454 oxide semiconductor-based gas sensors. The performance of Pt/
455 ZnO thin film junction can be further improved by optimizing the
456 surface morphology of sensor surface [40]–[42].

IV. CONCLUSION

458 In this manuscript, we have investigated the electrical and
459 gas sensing characteristics of Pt/ZnO thin film Schottky contacts
460 grown on n-Si substrate by RF sputtering. The structural

and optical properties of the ZnO thin film have been character-
461 ized by XRD, FE-SEM, EDX, UV and PL spectroscopy, which
462 has confirmed its good crystalline nature. We have estimated
463 characteristic parameters of Pt/ZnO Schottky diode such as barrier
464 height, ideality factor and series resistance, using ($I-V$) and
465 ($C-V$) characterizations being ~ 0.71 eV ($I-V$)/ ~ 0.996 eV
466 ($C-V$), ~ 2.25 and $\sim 95 \Omega$ respectively at room temperature. An
467 investigation on the gas sensing characteristics of the device
468 towards different concentrations of Hydrogen (200–1000 ppm)
469 at 350 °C revealed a maximum sensitivity of 57% at 1000 ppm
470 Hydrogen. The device has been proposed to be of simple and
471 easy to fabricate structure, having response increasing linearly
472 with Hydrogen concentration.
473

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476

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Lintu Rajan received the B.Tech. degree in electronics and communication engineering from Mahatma Gandhi University, Kerala, India, in 2009, and the M.Tech. degree in VLSI design from Amrita Vishwa Vidyapeetham University, Kerala, India, in 2011. She is currently working toward the Ph.D. degree in the Department of Electronics and Communication Engineering, Malaviya National Institute of Technology, Jaipur, India. Her research interest includes Electrical and Gas sensing characteristics of ZnO thin film-based schottky diodes.



C. Periasamy received the B.E. degree in electronics and communication engineering from Periyar University, Tamilnadu, India, in 2002, and the Ph.D. degree in electronics engineering from the Indian Institute of Technology, Banaras Hindu University, Varanasi, India, in 2011. He is currently working as an Assistant Professor in the Department of Electronics Engineering, Malaviya National Institute of Technology, Jaipur, India. His research interests include design, fabrication and characterization of nanomaterial-based electronic and piezoelectronic devices, thin film-based gas sensors, etc. He has published more than 35 papers in various international journals and conference proceedings.



Vineet Sahula received the Bachelor's degree in electronics (Hons.) from the Malaviya National Institute of Technology, Jaipur, India, in 1987, the Master's degree in integrated electronics and circuits from the Indian Institute of Technology (IIT) Delhi, New Delhi, India, in 1989, and the Ph.D. degree from the Department of Electrical Engineering, IIT Delhi, in 2001. He is currently the Professor of Department of Electronics and Communications Engineering, Malaviya National Institute of Technology, Jaipur, India. He has published more than 50 journals and conference papers to his credit. His current research interests include high-level design, modeling and synthesis for analog and digital systems, and computer-aided design for micro-electro-mechanical systems.

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